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# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/056,596	01/24/2002	David Collier	GE - 120432

CONFIRMATION NO. 4700

## FORMALITIES LETTER



\*OC000000007516827\*

John S. Beulick  
Armstrong Teasdale LLP  
One Metropolitan Sq., Suite 2600  
St. Louis, MO 63102

Date Mailed: 02/22/2002

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 2,3,4 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

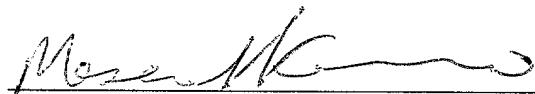
II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

10056596-042202

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in dark ink, appearing to read "Mose McK", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: David Collier, et al.

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Art Unit: 2171

Serial No.: 10/056,596

Examiner:

Filed: January 24, 2002

For: METHODS AND SYSTEMS  
FOR MANAGEMENT AND  
CONTROL OF AN  
AUTOMATION CONTROL  
MODULE

**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Hon. Commissioner for Patents  
Washington, D.C. 20231

The drawings have been amended to include Figures 2, 3, and 4 illustrating method steps as described in the specification. Applicants hereby submit drawing sheets for Figures 2, 3, and 4 in red ink for examination in the above-referenced application. No new matter has been introduced by the proposed addition of Figures 2, 3, and 4. Applicants respectfully request approval of Figures 2, 3, and 4. Furthermore, the previously filed drawing sheets 1-5 have been amended to appropriately renumber previously filed drawing sheets 1-5, according to the addition of new Figures 2, 3, and 4. Submitted herewith are drawing sheets showing changes to previously filed drawing sheets 1-5 in the above referenced patent application. Applicants respectfully request approval of the indicated drawing changes to previously filed drawing sheets 1-5. In anticipation of approval, formal copies of Figures 1-10 are enclosed.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Patrick W. Rasche".

Patrick W. Rasche

Registration No. 37,916

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